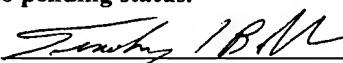


FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-2003)		ATTORNEY'S DOCKET NO. 120129.401USPC
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/501 907</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US03/01982</b>	INTERNATIONAL FILING DATE <b>21 January 2003</b>	PRIORITY DATE CLAIMED <b>18 January 2002</b>
TITLE OF INVENTION <b>HYDROELECTRIC GENERATOR</b>		
APPLICANT(S) FOR DO/EO/US <b>Dennis W. Aukon</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Declaration; 9 Cited References</p>		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/501907</b>	INTERNATIONAL APPLICATION NO. PCT/US03/01982	ATTORNEY'S DOCKET NUMBER 120129.401USPC																				
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>		<b>CALCULATIONS PTO USE ONLY</b>																				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b> =		<b>\$920.00</b>																				
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">Claims</td> <td style="width: 25%;">Number Filed</td> <td style="width: 20%;">Number Extra</td> <td style="width: 20%;">Rate</td> <td style="width: 20%;"> </td> </tr> <tr> <td>Total Claims</td> <td><b>22 - 20 =</b></td> <td><b>2</b></td> <td><b>x \$ 18.00</b></td> <td><b>\$36.00</b></td> </tr> <tr> <td>Independent Claims</td> <td><b>4 - 3 =</b></td> <td><b>1</b></td> <td><b>x \$ 86.00</b></td> <td><b>\$86.00</b></td> </tr> <tr> <td colspan="3"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td><b>+ \$290.00</b></td> <td><b>\$ .00</b></td> </tr> </table>		Claims	Number Filed	Number Extra	Rate		Total Claims	<b>22 - 20 =</b>	<b>2</b>	<b>x \$ 18.00</b>	<b>\$36.00</b>	Independent Claims	<b>4 - 3 =</b>	<b>1</b>	<b>x \$ 86.00</b>	<b>\$86.00</b>	<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			<b>+ \$290.00</b>	<b>\$ .00</b>	<b>\$ .00</b>
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<b>TOTAL OF ABOVE CALCULATIONS</b> =		<b>\$1,042.00</b>																				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<b>\$521.00</b>																				
<b>SUBTOTAL</b> =		<b>\$521.00</b>																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +		<b>\$ .00</b>																				
<b>TOTAL NATIONAL FEE</b> =		<b>\$521.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +		<b>\$ .00</b>																				
<b>TOTAL FEES ENCLOSED</b> =		<b>\$521.00</b>																				
		<b>Amount to be refunded:</b>																				
		<b>Charged:</b>																				
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$ 521.00</b> cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <b>19-1090</b> in the amount of <b>\$ _____</b> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-1090</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.																						
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b> <b>SEND ALL CORRESPONDENCE TO:</b>																						
 SIGNATURE <u>Timothy L. Boller</u> NAME <u>47.435</u> REGISTRATION NUMBER																						